

AER

ECBA-42795

NOV 10 1957

Chief of Base, Pullach

8 July 1957

Chief of Base, Berlin

INFO: COS, EE

Operational/CART/UPSWING

Discussion of 19 June 1957 regarding [] []
and [] []

REF: ECBA-4120, et seq. *ALL CHAIRMAN*

1. In a session lasting the entire afternoon of 19 June, UPSWING representatives, G. WUNDER and G. BEITZ, were briefed by [] and [] with [] and [] in attendance regarding certain operational leads provided by [] and [] which we had either attempted to exploit or had investigated and trace down the persons concerned to the point where operational exploitation could now be undertaken. UPSWING was informed that [] and [] had been instructed to leave the coordination of these cases to us, inasmuch as many of these leads were unresolved and investigations were under way at the time [] and [] were turned over to UPSWING - a turnover we did not feel should be delayed. He covered every operational lead and operational maneuver we made involving [] and [] in a rather intense and furious verbal briefing which G. BEITZ presumably was able to reconstruct, as he took notes at a frantic pace throughout the afternoon. Attached herewith, in form suitable for passing directly to UPSWING and with an extra copy for retention of Pullach, is a brief summary of each of these operational cases, indicating the persons involved, the action taken by us, and the agreement we understand to have been reached with UPSWING with respect to future pursuit of the lead. Also included is a brief summary of the exfiltration of [] family, and the status of negotiations regarding [] family. It is again noted for the record that the family status of both, and our efforts to exfiltrate these families, were clearly indicated to UPSWING during my

Enclosure:

Summary of operational leads

Distribution.

- 2 - POB w/enc as noted
- 2 - COS w/1 enc
- 1 - EE w/1 enc

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time discussion of the proposed turnover of [redacted] to [redacted]. The subsequent arrest of [redacted] could not have been known to us at that time, but in general I think it is a fair statement that [redacted] was given at least a verbal briefing on the various security ramifications and difficulties of this case before they accepted custody.

2. The turnover of [redacted] and [redacted] to [redacted] has been, I think, an instructive experience. Discussion with [redacted] staff officers concerned with the handling of [redacted] June revealed that our respective bases had approached this turnover from quite different viewpoints. Our original approach, and one to which we steadfastly adhered, envisioned more or less bringing [redacted] into the exploitation of these defectors on a joint basis - asking of them in return only assistance in smoothing the way with German officials to ensure legislation of both [redacted] and [redacted] from our association with [redacted] and [redacted] as felt very strongly that neither should be considered, even for a moment, for continued employment in the intelligence field, and this was expressed by [redacted] (no "we" quite clearly) during the March discussion: it became clear from the subsequent history that [redacted] desired to [redacted] own conclusions and if possible achieve a position with [redacted] permitting their unilateral exploitation of any leads they caused so to pursue. Undoubtedly the [redacted] objective, which was clearly understood by [redacted], is the normal reaction of an independent service and the basis upon which we should probably in the future plan any such turnover. In short, then, the physical turnover of any body should undoubtedly be clean and unclouded upon a total break of relationship with the person or persons turned over, leaving all future exploitation and negotiation with said person to [redacted] (or the service involved). If we desire to withhold certain leads and maintain certain operational equilibria, we have little choice but to endeavor on our own to reestablish such a defector; even though [redacted] or [redacted] will locate such a reestablished source, we are under no obligation to either of these offices to reimburse our operational equity or inform them of our exploitation under these circumstances.

3. The further point made clear by the turnover of [redacted] and [redacted] is that [redacted] should have a "reference" who has facilitated himself with all aspects of our exploitation of the defector being turned over. It can be learned without difficulty, I think, that an association on an all-day and half-the-night basis over a period of two months with an [redacted] defector does not admit of full and complete documentation on a current basis; inevitably the various interrogators' notes on the basis of which they re-interrogate and exchange views with other interrogators, out of which ultimately comes the investigation report. Concurrently, operational exploitation is under way and innumerable contact reports are building up regarding operational moves. In the instant case, five [redacted] staff officers and [redacted] interrogated

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[] and [] and pursued leads they provided during the period early January to the end of March. A completely collected account of all this activity still does not exist; rather individual files of operational leads are at hand at BOB, and the final interrogation reports are now being completed. With these practical considerations, it was agreed between FOB and BOB that a smooth turnover could only be achieved in such a case by sending a FOB officer to Berlin to discuss with all concerned the status of exploitation of any such defector, and to reach an agreed position with BOB regarding the scope of statements and/or reports we would provide to UPWARD in conjunction with the turnover.

4. Despite all the problems we (and UPWARD) have had regarding this case, it is our impression from conversation with G HORNBERG and G BEYZ that we have nonetheless achieved our objective in the turnover of these defectors. G BEYZ indicated very clearly, and even G HORNBERG was forced to agree, that [] and [] had provided UPWARD with a totally different concept of the role of the Kreis Dienststelle of the NRS, which G BEYZ assessed to be valid and worth all the difficulty [] and [] have represented. In addition, G HORNBERG indicated that UPWARD has delayed operational exploitation of [] and [] until this time, preferring first to establish them in a new life (with which we most heartily agreed) but, having now accomplished this, G HORNBERG anticipated that he would shortly be presenting to the FOB staff certain operational proposals for discussion and joint consideration. If this in fact comes to pass, we should indeed achieve our objective of getting together with UPWARD on the NRS problem.

5. Undoubtedly the brightest spot of the afternoon was the brief discussion with G BEYZ regarding NRS operations and modus operandi. G BEYZ strikes us as an unusually enthusiastic, straightforward, and intelligent person with whom we expect to have regular interesting exchanges regarding the NRS.

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PROTOCOL OF MEETING HELD AT 1230 ON 19 JUNE 1947

1. As we understand it, Otto and Heinz now have their West German identification papers, and Otto and his family have housing. As for Heinz, his housing problem, not yet a difficult one since he has not yet been joined by his family, should be solved in the near future.

2. In the matter of Heinz's family, it was explained that we were in contact with his sister-in-law Frau ECKARD, born circa 1931, living at Pippach-Edelhausen bei Weimar. Through ECKARD we succeeded in transmitting to his wife's lawyer the necessary funds to cover legal fees and restoration of the embezzled monies charged against his wife. At our last meeting with ECKARD, we learned that his wife was still being held in investigatory custody, but that her release should be imminent. However, because of the successful evacuation of Otto's family to West Berlin and the ensuing MRS investigation resulting in at least one arrest, it was learned that ECKARD had also been questioned by the MRS. She failed to appear at a meeting arranged for late May, alternate for early June. Since that time Heinz has passed from our control, and we have lost the means of pursuing the evacuation of Heinz's wife and infant son. We are willing to continue to act in this matter, withdraw from participation, or support a joint effort. However, in view of the security dangers in Weimar at the present, we feel that no action should be taken for a few months, at least.

3. In the case of Otto, we passed you a photocopy of a letter apparently written by his ex-girl friend Karin LORENZ, born circa 1938, living at Weimar, Platz der Deutsch-Sowjetischen Freundschaft 1, bei GABE, to Otto in care of a fictional name at the address of the KGB. It was explained that this may well be an MRS attempt to determine Otto's location in West Germany, since LORENZ was involved in the Egon KNECHTER affair (see attached summary of this case). Inasmuch as Otto was very close to LORENZ, and at one time was considering divorcing his wife in order to marry her, the security threat she presented to future operations involving Otto was stressed.

4. We understand that operational interviews of Otto and Heinz have not yet begun, but will be undertaken after Otto and Heinz have settled down with employment. We reiterated that, concerning termination settlements with Otto and Heinz, we promised only that they would receive housing, a little money to tide them over until they had steady employment, and assistance in obtaining work.

5. Inasmuch as we turned over Otto and Heinz while we were still investigating, or initiating several operations, it was necessary for us to brief Otto and Heinz that we would take up these cases with the appropriate authorities personally. Summaries of these cases (thirteen in number) are attached.

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1. Subject: Horst MAYER, NCS Ernst Unterleutnant

Persons Involved:

1. Horst MAYER, born 1923, Weimar-Ehringsdorf, living at Weimar-Ehringsdorf, Weimarsche Str. 24/1
2. Horst LAMKE, born circa 1926, living in Weimar, employed at LDP Weimar Kreisleitung
3. Otto, personal data known

Developments:

We attempted making contact with MAYER through a letter written by KRAUS, which was delivered to MAYER at his home address in February 1937. MAYER did not appear at the suggested meeting site, and shortly thereafter we received provocative material in the letterdrop given MAYER for communicating with us. It appears MAYER surrendered the letter to the NCS, perhaps fearing it an NCS provocation. We are now attempting to make contact with MAYER through his close friend LAMKE.

Future Handling:

It was agreed that we would continue to pursue this case unilaterally for the present - traces, if available, would be helpful.

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2. Subject: Kurt STEINER, alias MUELLER, M'S Weimar Dept. II Informant

Persons Involved:

1. Kurt STEINER, alias MUELLER, born circa 1931, living in Weimar, Friedrich Engels Ring 14b (?), photographer at the Soviet Officers' Club, Weimartalle
2. Heinz, personal data known

Developments:

We are planning an approach to STEINER in the very near future. According to STOLZE, STEINER has a vacillating attitude toward the DOR, and would be susceptible to recruitment. He had been recruited by the M'S under coercion.

Future Handling:

It was agreed that we would pursue this case unilaterally, disseminating eventual M'S information when received.

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4. Subject: Bruno Wilhelm Richard ~~Witz~~ KLENN, ex-RIS Weimar secret informant

Persons Involved:

1. Bruno KLENN, born 18 December 1904, Weimar, formerly living at Weimar, Ettersburger Str. 104A, owner of an automotive repair shop in Weimar, fled to West Germany in August, 1946, now living at Budesheim refugee camp near Frankfurt/Main.
2. Otto, personal data known

Developments:

Prior to his flight from the DDR, KLENN, who was a Soviet informant, became involved in a black market investigation involving Soviet army personnel. Otto, through his connections with Soviet officers, learned of KLENN's imminent arrest and warned him to flee. It appears the Soviets regard KLENN's participation in the proceedings to be of great importance, for we have detected attempts on the part of individuals in Weimar to lure KLENN back to the DDR. His family continues to live there, protecting his financial and property interests. We are presently in contact with KLENN and will provide a report as soon as there are any new developments.

Future Handling:

It was agreed that we would pursue this case unilaterally for the present, keeping you advised of the status.

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3. Subject: Erich DAUBERT, NCS Erfurt Dept XV Informant

Persons Involved:

1. Erich DAUBERT, born 24 January 1920, Pausa, living at Weimar, Deelstaedistr 42, employed as Dozent at the Hochschule fuer Architektur, Weimar
2. Heinz, personal data known

Developments:

According to statements by Heinz, DAUBERT was investigated by NCS Weimar in preparation for recruitment by NCS Erfurt Dept XV as a secret informant. We have made contact with DAUBERT under a cover context, and have established that his activities on behalf of Dept XV, if he was in fact recruited, appear to take place in countries other than the Federal Republic, we having spotted travel to both France and England, but no evidence of travel in the Federal Republic.

Future Handling:

It was agreed that we would pursue this case unilaterally. Traces from Central Files would be provided.

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3. Subject: Karl Hilmar HENNECKE, considered for recruitment by M'S Weimar as a secret informant

Persons Involved:

1. Karl Hilmar HENNECKE, born 20 January, 1913, Kassel, living at Kassel, Mariusstr 24, Hinterhaus/III, presently unemployed
2. Otto, personal data known

Developments:

According to statements by Otto, HENNECKE was to have been recruited by M'S Weimar during the spring of 1937. One of our investigators has approached HENNECKE under the cover of an M'S agent, and HENNECKE has admitted connections with M'S Erfurt. A complete report on HENNECKE's contacts and activities should be available within a few weeks.

Future Handling:

It was agreed that we would pursue this case unilaterally, disseminating eventual M'S information when received.

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6. Subject: Rudolf HECIMANN, N'S Erfurt Secrecy Collaborator

Persons Involved:

1. Rudolf HECIMANN (also known as HECIMANN or HESIMANN), cover name JULIUS, (born 12 April 1910, living at Weimar, Belvedere Allee 10 D)
2. Ernst ZIEGLER, born 21 August 1914, Frankfurt/Main, living at Frankfurt/Main, Schenckstr 42, employed at the Hamburg-Bremer Feuerversicherungs Gesellschaft, Frankfurt/Main, Rheinstr. 24
3. (Graf) Johann Adolf Von KIRLMANSROG, personal data known
4. Werner DIETRICH, born 4 June 1912, Regensburg bei Leipzig, permanent address at Volkach (near Muerzburg), Ring Str. 12, presently living at Dingolfing bei Regensburg, Reim Str. 160, employed as Baggage Pusher
5. Lieselotte DIETRICH, nee BAUMANN born 10 February 1913 at Regensburg bei Zipsdorf, DDR, married to Werner DIETRICH on 27 December 1938, living at Volkach (near Muerzburg), Ring Str. 12
6. Otto, personal data known

Developments:

We have been pressing the investigation of this case, because the information by Otto, though up till now very reliable, still has not been verified in this instance, and we wish to avoid any unwarranted damage to anyone's reputation should the charges turn out to be unfounded.

According to Otto, HECIMANN was directed by N'S Erfurt to develop contact with ZIEGLER and KIRLMANSROG, all three of whom are said to be members of the Veterans Organization of the First Panzer Division. Otto does not know how successful HECIMANN has been on this operation, and Otto believes that ZIEGLER and KIRLMANSROG are unwitting of HECIMANN's N'S ties.

To clear up this matter, we have been seeking contact with HECIMANN. This we tried to achieve through DIETRICH, whose wife is a half-sister of HECIMANN. Otto reported that N'S Weimar had attempted to recruit DIETRICH while he was visiting his wife in the DDR during the summer of 1944. DIETRICH had been a member of the SS. After returning to West Germany, DIETRICH reported his detention in the DDR and refused to continue contact with the N'S. HECIMANN was sent to West Germany late in 1944 to investigate DIETRICH's status.

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Subject: Rudolf HEGEMANN (continued)

We made contact with DIETRICH and Otto's information concerning DIETRICH was verified in every detail. However, DIETRICH claimed to have no contact with HEGEMANN, and refused to seek any.

In the meantime, we have located ZEHNER, and initial contact with him has verified the fact that he is a member of the Veterans of the First Panzer Division, and he acknowledges having heard of HEGEMANN, whom he claims to know as PECHMANN or HEGEMANN. ZEHNER further admitted having once been approached by East Zone members of the Veterans group to work for the "BERG". This point was not pursued in the initial meeting with ZEHNER, because it would have been incompatible with the cover used by the investigator. ZEHNER agreed to inform the investigator if HEGEMANN should visit West Germany. However, it should be noted that ZEHNER appears to be aware of the investigator's true motives. It is hoped that this matter will be cleared up within the next few weeks.

Future Handling:

We indicated our desire to pursue this case, but we also expressed our willingness to withdraw, or to participate in a joint effort, should either of the latter approaches be deemed preferable. Traces were requested, particularly on ZEHNER, but also with special attention to any unique capability perhaps available to achieve contact with HEGEMANN.

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1. Subject: Frau SCHACHTSCHABEL, MFS Erfurt secret informant

Persons Involved:

1. Frau SCHACHTSCHABEL, born c. 1915, believed to be living in Erfurt, employed as an UGKommisar on the VP Instrukteur Brigade, Erfurt
2. Otto, personal data known
3. Heinz, personal data known

Developments:

According to statements of Otto and Heinz, SCHACHTSCHABEL has been working for MFS Erfurt since at least early 1956. As yet we have been unable to find a secure means of contact.

Future Handling:

We agreed to take no further action on this case without prior coordination.

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1. Subject: Willi MOELLER, ex-NFS Berlin Driver

Persons Involved:

1. Willi MOELLER, born c. 1922, living at Weimar, Schwebel Str. 10, formerly employed by NFS Weimar, and later NFS Berlin as a driver.
2. Frau MOELLER, Frau, wife of Willi MOELLER
3. Tilly MOELLER, daughter of Willi MOELLER
4. Frau IMU, born c. 1945, allegedly cousin of Willi MOELLER living in East Berlin
5. Otto, personal data known

Developments:

Contact with MOELLER was established via a letter written by Otto. However, instead of appearing at the meeting site in person, MOELLER sent his wife, who was accompanied by his daughter Tilly and an unidentified youth, allegedly a cousin living in East Berlin. MOELLER's wife claimed that Willi MOELLER had twisted his leg in an accident and was unable to travel. A succeeding meeting time and site was arranged, but MOELLER failed to appear. We have since learned that MOELLER is no longer employed by the NFS and have abandoned the case.

Future Handling:

We plan no further action in this case. However, should you desire to re-examine this lead, we are prepared to assist as possible.

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7. Subject: Gerhardt STUEHLER, NCS Erfurt secret informant

Persons Involved:

1. Gerhardt STUEHLER, born c. 1920, living in Weimar,
Student at the Hochschule fuer Architektur in Weimar
2. Meins, personal data known

Developments:

According to statements by Meins, STUEHLER was recruited by NCS Erfurt Dept IV in mid-1956. As yet we have made no perceptible progress toward contact, and, while we have not dropped interest in the case, we have found no secure means of approaching STUEHLER.

Future Handling:

We agreed to take no further action on this case without prior coordination.

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10. Subject: Arthur Bruno TRUESER, RFS Weimar secret informant

Persons Involved:

1. Arthur Bruno TRUESER, born 15 November 1912, Tamaroda, living at Weimar, Bertuch Str. 40, employed as an independent taxi driver
2. Otto, personal data known
3. Lore, wife of Otto, personal data known
4. Ruth KUEHNERT, sister of Lore
5. Helga, daughter of Otto, born 1949
6. Volkmar August Otto, son of Otto, born 1954

Developments:

We made contact with TRUESER when TRUESER brought Otto's family to West Berlin. Upon his return to Weimar with Ruth KUEHNERT, it appears the latter was questioned by the RFS, who in turn probably implicated TRUESER as having effected the escape of Otto's family to West Berlin. We have since learned from two different sources that he has been arrested. We do not intend to pursue this case.

Future Handling:

Should further action on this lead prove feasible, on either a unilateral basis by either party or on a joint effort, prior coordination will be effected.

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11. Subject: Egon KLEINSTEUBER, ex-NFS Weimar Unterleutnant

Persons Involved:

1. Egon KLEINSTEUBER, born c. 1931, Gotha, living in Weimar, Ernst Thälmann Str. bei Fräulein Ina GRUND, employed as taxi driver with VEB Taxi, Weimar
2. Karin LORENZ, born c. 1931, living at - - - - - Platz der Deutschen Sowjetischen Freundschaft 1, bei GAES
3. Otto, personal data known

Developments:

Contact with KLEINSTEUBER via a letter written by Otto was achieved, and a meeting arranged. However, LORENZ appeared at the meeting site instead of KLEINSTEUBER, alleging that KLEINSTEUBER was in East Berlin but at the last moment had decided against entering the West sector for fear of being arrested by the West Berlin police. According to LORENZ, KLEINSTEUBER was anxious to see Otto and wanted Otto to come to him in East Berlin. Continued negotiations with KLEINSTEUBER through repeated trips by LORENZ from the West Sector to the East and return failed, and indications were strong that the operation was an NFS attempt to lure Otto into the East Sector. We do not plan to pursue this case.

Future Handling:

Should further action on this lead prove feasible, we are prepared to assist in any way possible.

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12. Subject: Ernid BINDER, ex-NFS Weimar secret collaborator

Persons Involved:

1. Ernid BINDER, born 22 February 1922, Karlsruhe/GSA, single.
living at Neu-Isenburg bei Frankfurt/Main, Goethestr. 66,
employed as a Ciemoteknikerin
2. Otto, personal data known
3. Heinz, personal data known

Developments:

According to statements of Otto and Heinz, BINDER was recruited by NFS Weimar as a secret collaborator about 1953. Shortly thereafter she fled from the DDR, and it was not known whether she had departed on an NFS mission. At any rate, so much of Otto's and Heinz's allegations have been confirmed, in that BINDER has admitted during her refugee processing that she had been approached by the NFS. We have located her at the above address, but have not yet approached her. Of particular significance in this case is the fact that she fled to West Berlin on 10 July 1955 and proceeded by commercial air to West Germany where she obtained employment and initiated refugee recognition proceedings on 1 September 1955. While this may be a completely explainable move on her part to avoid refugee processing in Berlin, and even though she admitted attempted recruitment by the NFS Erfurt as the reason for her flight in the course of her DMKV proceedings, it is noted that NFS Department XV habitually utilizes the technique of having agents they dispatch to the Federal Republic avoid refugee camps where the refugee is subject to interrogation by several security agencies; BINDER appears to have utilized this technique to establish herself in the Federal Republic. It is also interesting to note that she is listed in the East German MVDVP Fahndungsbuch dated 1 January 1957 with correct last residence Berlin NO 55, Muegarderstrasse 16.

Future Handling:

We do not plan to take any action on this case, unless future joint discussions should indicate this more feasible. We feel this case should be investigated, and stand ready to provide tracing and research support.

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13. Subject: Horst Heinrich HOELZ, RFS Erfurt secret informant

Persons Involved:

1. Horst Heinrich HOELZ, born 5 March 1916, Salinger, Kr. Trier, living at Weimar, Steinbeistr. 3, phone 35 16, lawyer
2. Otto, personal data known

Developments:

According to statements by Otto, HOELZ was recruited by the RFS about 1952. Otto learned this from HOELZ himself, who was Otto's personal lawyer, and Otto once saw the RFS file on HOELZ. It was known to Otto that HOELZ occasionally made trips to Western Europe. Though we have taken no action up till now, we are extremely interested in this case.

Future Handling:

Inasmuch as Otto will have legal matters to clear up concerning his wife's property in the DDR, Otto will have legitimate reason to invite HOELZ to confer with him in West Germany sometime in the near future. We have agreed to approach this target personality bilaterally, and we will recommend a date for further discussions on the matter. Traces would be of interest.

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